



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : Customer No.: 035811  
Examiner :  
Serial No. : 10/770,700  
Filed : February 3, 2004  
Inventors : Tetsuo Yamada Docket No.: 1034-04  
: Shin-ichi Sakata  
Title : SIALON-BASED OXYNITRIDE PHOSPHOR, Confirmation No.:  
: PROCESS FOR ITS PRODUCTION, AND  
: USE THEREOF

Dated: April 28, 2004

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicants submit herewith Form PTO-1449 together with copies of the publications listed therein. A copy of the European Search Report dated March 29, 2004 is also enclosed. The documents are submitted to comply with 37 C.F.R. §1.56. The enclosed publications are believed related in view of their mention in the Search Report for the reasons stated.

Applicants certify that each item of information contained in the Information Disclosure Statement was first cited in any communication from any foreign patent office in a counterpart foreign application not more than three months ago.

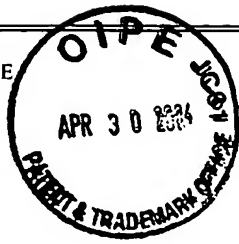
The Applicants respectfully request that this Information Disclosure Statement be officially entered into the file and that appropriate notification be made that it was considered by the Examiner.

Respectfully submitted,

T. Daniel Christenbury  
Reg. No. 31,750  
Attorney for Applicant(s)

TDC:ks  
(215) 656-3381

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE



ATTY. DOCKET NO.  
1034-04

SERIAL NO.  
10/770,700

APPLICANT Tetsuo Yamada

FILING DATE  
February 3, 2004

GROUP

**LIST OF PRIOR ART CITED BY APPLICANT**

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	1 278 250 A2	1/22/03	EP			Abstract only	
	AM	1 264 873 A2	12/11/02	EP				
	AN							
	AO							
	AP							

**OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AR	Rong-Jun Xie et al., <u>Preparation and Luminescence Spectra of Calcium- and Rare-Earth (R = Eu, Tb, and Pr)-Codoped <math>\alpha</math>-SiAlON Ceramics</u> , J. Am. Ceram. Soc., 85[5] (2002), pp. 1229-34
	AS	J.W.H. van Krevel et al., <u>On the Ce<sup>3+</sup> Luminescence in the Melilite-Type Oxide Nitride Compound Y<sub>2</sub>Si<sub>2</sub>Al<sub>2</sub>O<sub>7</sub>N<sub>4</sub></u> , Materials Research Bulletin 35 (2000), pp. 747-754
	AT	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.



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Alexandria, VA 22313-1450

Sir:

**Certificate of Mailing Under 37 CFR 1.8**

For


Postcard

Supplemental Information Disclosure Statement  
Form PTO-1449 w/copies of 4 publications  
Copy of European Search Report dated March 29, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date appearing below.

Name of Applicant, Assignee, Applicant's Attorney  
or Registered Representative:

Piper Rudnick LLP  
Customer No. 035811

By: 

Date: 28 APR 2004